

## RSA System.

RSA (Rivest, Shamir, and Adleman)

Let  $N = pq$  for primes  $p$  and  $q$ .

Find  $e$  with  $\gcd((p-1)(q-1), e) = 1$ .<sup>1</sup>

Compute  $d = e^{-1} \pmod{(p-1)(q-1)}$ .

Announce  $N (= p \cdot q)$  and  $e$ :  $K = (N, e)$  is my public key!

Encoding:  $\text{mod}(x^e, N)$ .

Decoding:  $\text{mod}(y^d, N)$ .

Does  $D(E(m)) = m^{ed} = m \pmod{N}$ ? Yes!

Proof (sketch):

$m^{ed} - m = m^{k(p-1)(q-1)} - m = 0 \pmod{p}$ . by Fermat.

Divisible by  $p$  (and  $q$ )

implies  $m^{k(p-1)(q-1)} - m = 0 \pmod{pq}$ .

(which is

$$m^{ed} = m \pmod{pq}$$

□

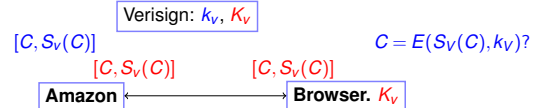
<sup>1</sup>Typically small, say  $e=3$ .

## Poll

**Signature authority has public key (N,e).**

- (A) Given message/signature  $(x, y)$ : check  $y^d = x \pmod{N}$
- (B) Given message/signature  $(x, y)$ : check  $y^e = x \pmod{N}$
- (C) Signature of message  $x$  is  $x^e \pmod{N}$
- (D) Signature of message  $x$  is  $x^d \pmod{N}$

## Signatures using RSA.



Certificate Authority: Verisign, GoDaddy, DigiNotar,...

Verisign's key:  $K_v = (N, e)$  and  $k_v = d \pmod{N = pq}$ .

Browser "knows" Verisign's public key:  $K_v$ .

Amazon Certificate:  $C =$  "I am Amazon. My public Key is  $K_A$ ."

Verisign signature of  $C$ :  $S_v(C)$ :  $D(C, k_v) = C^d \pmod{N}$ .

Browser receives:  $[C, y]$

Checks  $E(y, K_v) = C$ ?

$E(S_v(C), K_v) = (S_v(C))^e = (C^d)^e = C^{de} = C \pmod{N}$

Valid signature of Amazon certificate  $C$ !

Security: Eve can't forge unless she "breaks" RSA scheme.

## Other Eve.

Get CA to certify fake certificates: Microsoft Corporation.

2001..Doh.

... and August 28, 2011 announcement.

DigiNotar Certificate issued for Microsoft!!!

How does Microsoft get a CA to issue certificate to them ...

and only them?

## RSA

Public Key Cryptography:

$D(E(m, K), k) = (m^e)^d \pmod{N} = m$ .

Signature scheme:

$E(D(C, k), K) = (C^d)^e \pmod{N} = C$

## Summary.

Public-Key Encryption.

RSA Scheme:

$N = pq$  and  $d = e^{-1} \pmod{(p-1)(q-1)}$ .

$E(x) = x^e \pmod{N}$ .

$D(y) = y^d \pmod{N}$ .

Repeated Squaring  $\implies$  efficiency.

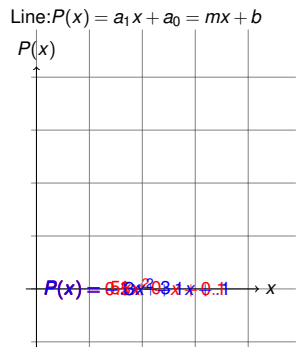
Fermat's Theorem  $\implies$  correctness.

Good for Encryption and Signature Schemes.

## Today.

Polynomials.  
 Secret Sharing.  
 Correcting for loss or even corruption.

Polynomial:  $P(x) = a_d x^d + \dots + a_0$



Parabola:  $P(x) = a_2 x^2 + a_1 x + a_0 = ax^2 + bx + c$

## Secret Sharing.

**Share secret among  $n$  people.**

**Secrecy:** Any  $k - 1$  knows nothing.

**Robustness:** Any  $k$  knows secret.

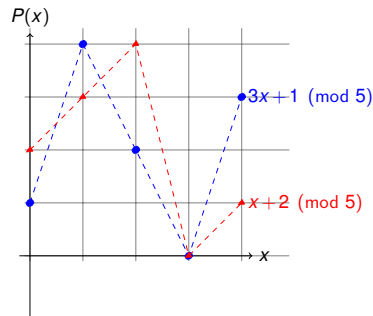
**Efficient:** minimize storage.

The idea of the day.

Two points make a line.

Lots of lines go through one point.

Polynomial:  $P(x) = a_d x^d + \dots + a_0 \pmod{p}$



Finding an intersection.

$$x + 2 \equiv 3x + 1 \pmod{5}$$

$$\implies 2x \equiv 1 \pmod{5} \implies x \equiv 3 \pmod{5}$$

3 is multiplicative inverse of 2 modulo 5.

Good when modulus is prime!!

## Polynomials

**A polynomial**

$$P(x) = a_d x^d + a_{d-1} x^{d-1} + \dots + a_0.$$

is specified by **coefficients**  $a_d, \dots, a_0$ .

$P(x)$  **contains** point  $(a, b)$  if  $b = P(a)$ .

**Polynomials over reals:**  $a_1, \dots, a_d \in \mathfrak{R}$ , use  $x \in \mathfrak{R}$ .

**Polynomials  $P(x)$  with arithmetic modulo  $p$ :**  $a_i \in \{0, \dots, p-1\}$  and

$$P(x) = a_d x^d + a_{d-1} x^{d-1} + \dots + a_0 \pmod{p},$$

for  $x \in \{0, \dots, p-1\}$ .

<sup>2</sup>A field is a set of elements with addition and multiplication operations, with inverses.  $GF(p) = (\{0, \dots, p-1\}, + \pmod{p}, * \pmod{p})$ .

## Two points make a line.

**Fact:** Exactly 1 degree  $\leq d$  polynomial contains  $d + 1$  points. <sup>3</sup>

Two points specify a line. Three points specify a parabola.

**Modular Arithmetic Fact:** Exactly 1 degree  $\leq d$  polynomial with arithmetic modulo prime  $p$  contains  $d + 1$  pts.

<sup>3</sup>Points with different  $x$  values.

Poll.

Two points determine a line.  
What facts below tell you this?

Say points are  $(x_1, y_1), (x_2, y_2)$ .

- (A) Line is  $y = mx + b$ .
- (B) Plug in a point gives an equation:  $y_1 = mx_1 + b$
- (C) The unknowns are  $m$  and  $b$ .
- (D) If equations have unique solution, done.

All true.

In the Flow (Steph Curry) Poll.

Why solution? Why unique?

- (A) Solution cuz:  $m = (y_2 - y_1)/(x_2 - x_1), b = y_1 - m(x_1)$
- (B) Unique cuz, only one line goes through two points.
- (C) Try:  $(m'x + b') - (mx + b) = (m' - m)x + (b - b') = ax + c \neq 0$ .
- (D) Either  $ax_1 + c \neq 0$  or  $ax_2 + c \neq 0$ .
- (E) Contradiction.

Flow poll. (All true. (B) is not a proof, it is restatement.)

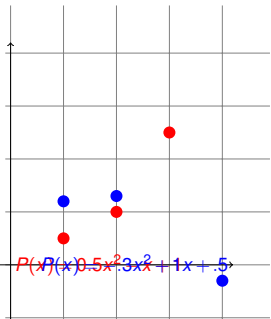
Notation: two points on a line.

Polynomial:  $a_n x^n + \dots + a_0$ .

Consider line:  $mx + b$

- (A)  $a_1 = m$
- (B)  $a_1 = b$
- (C)  $a_0 = m$
- (D)  $a_0 = b$ .
- (A) and (D)

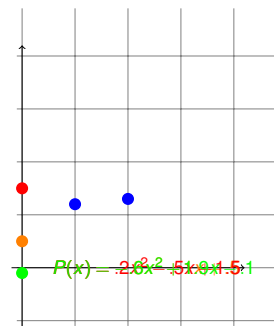
3 points determine a parabola.



Fact: Exactly 1 degree  $\leq d$  polynomial contains  $d + 1$  points. <sup>4</sup>

<sup>4</sup>Points with different  $x$  values.

2 points not enough.



There is  $P(x)$  contains blue points and any  $(0, y)$ !

Modular Arithmetic Fact and Secrets

**Modular Arithmetic Fact:** Exactly 1 degree  $\leq d$  polynomial with arithmetic modulo prime  $p$  contains  $d + 1$  pts.

**Shamir's  $k$  out of  $n$  Scheme:**

Secret  $s \in \{0, \dots, p - 1\}$

1. Choose  $a_0 = s$ , and random  $a_1, \dots, a_{k-1}$ .
2. Let  $P(x) = a_{k-1}x^{k-1} + a_{k-2}x^{k-2} + \dots + a_0$  with  $a_0 = s$ .
3. Share  $i$  is point  $(i, P(i) \bmod p)$ .

**Robustness:** Any  $k$  shares gives secret.

Knowing  $k$  pts  $\implies$  only one  $P(x) \implies$  evaluate  $P(0)$ .

**Secrecy:** Any  $k - 1$  shares give nothing.

Knowing  $\leq k - 1$  pts  $\implies$  any  $P(0)$  is possible.

### Poll:example.

The polynomial from the scheme:  $P(x) = 2x^2 + 1x + 3 \pmod{5}$ .  
What is true for the secret sharing scheme using  $P(x)$ ?

- (A) The secret is "2".
  - (B) The secret is "3".
  - (C) A share could be (1,5) cuz  $P(1) = 5$
  - (D) A share could be (2,4)
  - (E) A share could be (0,3)
- (B)(C),(D)

### From $d + 1$ points to degree $d$ polynomial?

For a line,  $a_1x + a_0 = mx + b$  contains points (1,3) and (2,4).

$$\begin{aligned} P(1) &= m(1) + b \equiv m + b \equiv 3 \pmod{5} \\ P(2) &= m(2) + b \equiv 2m + b \equiv 4 \pmod{5} \end{aligned}$$

Subtract first from second..

$$\begin{aligned} m + b &\equiv 3 \pmod{5} \\ m &\equiv 1 \pmod{5} \end{aligned}$$

Backsolve:  $b \equiv 2 \pmod{5}$ . **Secret is 2.**

And the line is...

$$x + 2 \pmod{5}.$$

### Quadratic

For a quadratic polynomial,  $a_2x^2 + a_1x + a_0$  hits (1,2);(2,4);(3,0).  
Plug in points to find equations.

$$\begin{aligned} P(1) &= a_2 + a_1 + a_0 \equiv 2 \pmod{5} \\ P(2) &= 4a_2 + 2a_1 + a_0 \equiv 4 \pmod{5} \\ P(3) &= 9a_2 + 3a_1 + a_0 \equiv 0 \pmod{5} \end{aligned}$$

$$\begin{aligned} a_2 + a_1 + a_0 &\equiv 2 \pmod{5} \\ 3a_1 + 2a_0 &\equiv 1 \pmod{5} \\ 4a_1 + 2a_0 &\equiv 2 \pmod{5} \end{aligned}$$

Subtracting 2nd from 3rd yields:  $a_1 = 1$ .

$$\begin{aligned} a_0 &= (2 - 4(a_1))2^{-1} = (-2)(2^{-1}) = (3)(3) = 9 \equiv 4 \pmod{5} \\ a_2 &= 2 - 1 - 4 \equiv 2 \pmod{5} \end{aligned}$$

So polynomial is  $2x^2 + 1x + 4 \pmod{5}$

### In general..

Given points:  $(x_1, y_1); (x_2, y_2) \dots (x_k, y_k)$ .

Solve...

$$\begin{aligned} a_{k-1}x_1^{k-1} + \dots + a_0 &\equiv y_1 \pmod{p} \\ a_{k-1}x_2^{k-1} + \dots + a_0 &\equiv y_2 \pmod{p} \\ &\vdots \\ a_{k-1}x_k^{k-1} + \dots + a_0 &\equiv y_k \pmod{p} \end{aligned}$$

Will this always work?

As long as solution **exists** and it is **unique!** And...

**Modular Arithmetic Fact:** Exactly 1 degree  $\leq d$  polynomial with arithmetic modulo prime  $p$  contains  $d + 1$  pts.

### Another Construction: Interpolation!

For a quadratic,  $a_2x^2 + a_1x + a_0$  hits (1,2);(2,4);(3,0).

Find  $\Delta_1(x)$  polynomial contains (1,1);(2,0);(3,0).

Try  $(x-2)(x-3) \pmod{5}$ .

Value is 0 at 2 and 3. Value is 2 at 1. **Not 1! Doh!!**

So "Divide by 2" or multiply by 3.

$\Delta_1(x) = (x-2)(x-3)(3) \pmod{5}$  contains (1,1);(2,0);(3,0).

$\Delta_2(x) = (x-1)(x-3)(4) \pmod{5}$  contains (1,0);(2,1);(3,0).

$\Delta_3(x) = (x-1)(x-2)(3) \pmod{5}$  contains (1,0);(2,0);(3,1).

But wanted to hit (1,2);(2,4);(3,0)!

$P(x) = 2\Delta_1(x) + 4\Delta_2(x) + 0\Delta_3(x)$  works.

Same as before?

...after a lot of calculations...  $P(x) = 2x^2 + 1x + 4 \pmod{5}$ .

The same as before!

### Fields..

Flowers, and grass, oh so nice.

Set and two commutative operations: addition and multiplication with additive/multiplicative identities and inverses expect for additive identity has no multiplicative inverse.

E.g., Reals, rationals, complex numbers.  
Not E.g., the integers, matrices.

We will work with polynomials with arithmetic modulo  $p$ .

Addition is cool. Inherited from integers and integer division (remainders).

Multiplicative inverses due to  $\gcd(x, p) = 1$ , for all  $x \in \{1, \dots, p-1\}$

## Delta Polynomials: Concept.

For set of  $x$ -values,  $x_1, \dots, x_{d+1}$ .

$$\Delta_i(x) = \begin{cases} 1, & \text{if } x = x_i. \\ 0, & \text{if } x = x_j \text{ for } j \neq i. \\ ?, & \text{otherwise.} \end{cases} \quad (1)$$

Given  $d + 1$  points, use  $\Delta_i$  functions to go through points?  
 $(x_1, y_1), \dots, (x_{d+1}, y_{d+1})$ .

Will  $y_1 \Delta_1(x)$  contain  $(x_1, y_1)$ ?

Will  $y_2 \Delta_2(x)$  contain  $(x_2, y_2)$ ?

Does  $y_1 \Delta_1(x) + y_2 \Delta_2(x)$  contain  
 $(x_1, y_1)$ ? and  $(x_2, y_2)$ ?

See the idea? Function that contains all points?

$$P(x) = y_1 \Delta_1(x) + y_2 \Delta_2(x) + \dots + y_{d+1} \Delta_{d+1}(x).$$

## Example.

$$\Delta_i(x) = \frac{\prod_{j \neq i} (x - x_j)}{\prod_{j \neq i} (x_i - x_j)}.$$

Degree 1 polynomial,  $P(x)$ , that contains  $(1, 3)$  and  $(3, 4)$

Work modulo 5.

$\Delta_1(x)$  contains  $(1, 1)$  and  $(3, 0)$ .

$$\Delta_1(x) = \frac{(x-3)}{1-3} = \frac{x-3}{-2} = (x-3)(-2)^{-1}$$

$$\begin{aligned} \Delta_1(x) &= (x-3)(1-3)^{-1} = (x-3)(-2)^{-1} \\ &= 2(x-3) = 2x-6 = 2x+4 \pmod{5}. \end{aligned}$$

For a quadratic,  $a_2 x^2 + a_1 x + a_0$  hits  $(1, 3); (2, 4); (3, 0)$ .

Work modulo 5.

Find  $\Delta_1(x)$  polynomial contains  $(1, 1); (2, 0); (3, 0)$ .

$$\begin{aligned} \Delta_1(x) &= \frac{(x-2)(x-3)}{(1-2)(1-3)} = \frac{(x-2)(x-3)}{2} = (2)^{-1}(x-2)(x-3) = 3(x-2)(x-3) \\ &= 3x^2 + 3 \pmod{5} \end{aligned}$$

Put the delta functions together.

## There exists a polynomial...

**Modular Arithmetic Fact:** Exactly 1 degree  $\leq d$  polynomial with arithmetic modulo prime  $p$  contains  $d + 1$  pts.

**Proof of at least one polynomial:**

Given points:  $(x_1, y_1); (x_2, y_2) \dots (x_{d+1}, y_{d+1})$ .

$$\Delta_i(x) = \frac{\prod_{j \neq i} (x - x_j)}{\prod_{j \neq i} (x_i - x_j)} = \prod_{j \neq i} (x - x_j) \prod_{j \neq i} (x_i - x_j)^{-1}$$

Numerator is 0 at  $x_j \neq x_i$ .

"Denominator" makes it 1 at  $x_i$ .

And..

$$P(x) = y_1 \Delta_1(x) + y_2 \Delta_2(x) + \dots + y_{d+1} \Delta_{d+1}(x).$$

hits points  $(x_1, y_1); (x_2, y_2) \dots (x_{d+1}, y_{d+1})$ . Degree  $d$  polynomial!

Construction proves the existence of a polynomial!

## In general.

Given points:  $(x_1, y_1); (x_2, y_2) \dots (x_k, y_k)$ .

$$\Delta_i(x) = \frac{\prod_{j \neq i} (x - x_j)}{\prod_{j \neq i} (x_i - x_j)} = \prod_{j \neq i} (x - x_j) \prod_{j \neq i} (x_i - x_j)^{-1}$$

Numerator is 0 at  $x_j \neq x_i$ .

Denominator makes it 1 at  $x_i$ .

And..

$$P(x) = y_1 \Delta_1(x) + y_2 \Delta_2(x) + \dots + y_k \Delta_k(x).$$

hits points  $(x_1, y_1); (x_2, y_2) \dots (x_k, y_k)$ .

Construction proves the existence of the polynomial!

## Poll

**Mark what's true.**

- (A)  $\Delta_1(x_1) = y_1$
  - (B)  $\Delta_1(x_1) = 1$
  - (C)  $\Delta_1(x_2) = 0$
  - (D)  $\Delta_1(x_3) = 1$
  - (E)  $\Delta_2(x_2) = 1$
  - (F)  $\Delta_2(x_1) = 0$
- (B), (C), and (E)

## Uniqueness.

**Uniqueness Fact.** At most one degree  $d$  polynomial hits  $d + 1$  points.

**Roots fact:** Any nontrivial degree  $d$  polynomial has at most  $d$  roots.

Non-zero line (degree 1 polynomial) can intersect  $y = 0$  at only one  $x$ .

A parabola (degree 2), can intersect  $y = 0$  at only two  $x$ 's.

**Proof:**

Assume two different polynomials  $Q(x)$  and  $P(x)$  hit the points.

$R(x) = Q(x) - P(x)$  has  $d + 1$  roots and is degree  $d$ .

**Contradiction.**

Must prove **Roots fact.** □

## Polynomial Division.

Divide  $4x^2 - 3x + 2$  by  $(x - 3)$  modulo 5.

$$\begin{array}{r}
 4x + 4 \text{ r } 4 \\
 \hline
 x - 3 \ ) \ 4x^2 - 3x + 2 \\
 \underline{4x^2 - 2x} \phantom{+ 2} \\
 4x + 2 \\
 \underline{4x - 2} \\
 4
 \end{array}$$

$$4x^2 - 3x + 2 \equiv (x - 3)(4x + 4) + 4 \pmod{5}$$

In general, divide  $P(x)$  by  $(x - a)$  gives  $Q(x)$  and remainder  $r$ .

That is,  $P(x) = (x - a)Q(x) + r$

## Secret Sharing

**Modular Arithmetic Fact:** Exactly one polynomial degree  $\leq d$  over  $GF(p)$ ,  $P(x)$ , that hits  $d + 1$  points.

**Shamir's  $k$  out of  $n$  Scheme:**

Secret  $s \in \{0, \dots, p - 1\}$

1. Choose  $a_0 = s$ , and randomly  $a_1, \dots, a_{k-1}$ .
2. Let  $P(x) = a_{k-1}x^{k-1} + a_{k-2}x^{k-2} + \dots + a_0$  with  $a_0 = s$ .
3. Share  $i$  is point  $(i, P(i) \pmod{p})$ .

**Robustness:** Any  $k$  knows secret.

Knowing  $k$  pts, only one  $P(x)$ , evaluate  $P(0)$ .

**Secrecy:** Any  $k - 1$  knows nothing.

Knowing  $\leq k - 1$  pts, any  $P(0)$  is possible.

## Only $d$ roots.

**Lemma 1:**  $P(x)$  has root  $a$  iff  $P(x)/(x - a)$  has remainder 0:  
 $P(x) = (x - a)Q(x)$ .

**Proof:**  $P(x) = (x - a)Q(x) + r$ .

Plugin  $a$ :  $P(a) = r$ .

It is a root if and only if  $r = 0$ . □

**Lemma 2:**  $P(x)$  has  $d$  roots;  $r_1, \dots, r_d$  then

$P(x) = c(x - r_1)(x - r_2) \dots (x - r_d)$ .

**Proof Sketch:** By induction. □

Induction Step:  $P(x) = (x - r_1)Q(x)$  by Lemma 1.  $Q(x)$  has smaller degree so use the induction hypothesis. □

$d + 1$  roots implies degree is at least  $d + 1$ .

**Roots fact:** Any degree  $d$  polynomial has at most  $d$  roots.

## Minimality.

Need  $p > n$  to hand out  $n$  shares:  $P(1) \dots P(n)$ .

For  $b$ -bit secret, must choose a prime  $p > 2^b$ .

**Theorem:** There is always a prime between  $n$  and  $2n$ .

*Chebyshev said it,  
 And I say it again,  
 There is always a prime  
 Between  $n$  and  $2n$ .*

Working over numbers within 1 bit of secret size. **Minimality.**

With  $k$  shares, reconstruct polynomial,  $P(x)$ .

With  $k - 1$  shares, any of  $p$  values possible for  $P(0)$ !

(Almost) any  $b$ -bit string possible!

(Almost) the same as what is missing: one  $P(i)$ .

## Finite Fields

Proof works for reals, rationals, and complex numbers.

..but not for integers, since no multiplicative inverses.

Arithmetic modulo a prime  $p$  has multiplicative inverses..

..and has only a finite number of elements.

Good for computer science.

Arithmetic modulo a prime  $m$  is a **finite field** denoted by  $F_m$  or  $GF(m)$ .

Intuitively, a field is a set with operations corresponding to addition, multiplication, and division.

## Runtime.

Runtime: polynomial in  $k$ ,  $n$ , and  $\log p$ .

1. Evaluate degree  $k - 1$  polynomial  $n$  times using  $\log p$ -bit numbers.
2. Reconstruct secret by solving system of  $k$  equations using  $\log p$ -bit arithmetic.

## A bit more counting.

What is the number of degree  $d$  polynomials over  $GF(m)$ ?

- ▶  $m^{d+1}$ :  $d+1$  coefficients from  $\{0, \dots, m-1\}$ .
- ▶  $m^{d+1}$ :  $d+1$  points with  $y$ -values from  $\{0, \dots, m-1\}$

Infinite number for reals, rationals, complex numbers!

## Summary

Two points make a line.

Compute solution:  $m, b$ .

Unique:

Assume two solutions, show they are the same.

Today:  $d+1$  points make a unique degree  $d$  polynomial.

Cuz:

Can solve linear system.

Solution exists: Lagrange interpolation.

Unique:

Roots fact: Factoring sez  $(x-r)$  is root.

Induction, says only  $d$  roots.

Apply:  $P(x), Q(x)$  degree  $d$ .

$P(x) - Q(x)$  is degree  $d \implies d$  roots.

$P(x) = Q(x)$  on  $d+1$  points  $\implies P(x) = Q(x)$ .

Secret Sharing:

$k$  points on degree  $k-1$  polynomial is great!

Can hand out  $n$  points on polynomial as shares.